

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported)

June 26, 2023

USCB Financial Holdings, Inc.  
(Exact name of registrant as specified in its charter)

Florida  
(State or other jurisdiction  
of incorporation)

001-41196  
(Commission File Number)

87-4070846  
(IRS Employer  
Identification No.)

2301 N.W. 87th Avenue, Doral, Florida  
(Address of principal executive offices)

33172  
(Zip Code)

Registrant's telephone number, including area code

(305) 715-5200

Not Applicable  
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each Class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock	USCB	The Nasdaq Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 8.01 Other Events.**

On June 28, 2023, USCB Financial Holdings, Inc. (the “Company”) announced the appointment, effective June 26, 2023, of Mr. Luis de la Aguilera as the Chairman of the Boards of Directors of the Company and its wholly owned subsidiary, U.S. Century Bank. The press release announcing the appointment is attached hereto as Exhibit 99.1 and is incorporated by reference thereto. The information in this Item 8.01 and the press release are being furnished to the Securities and Exchange Commission and shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (“Exchange Act”), or otherwise be subject to the liabilities of Section 18 and shall not be deemed incorporated by reference in other filings of the Company under the Exchange Act or the Securities Act of 1933, as amended.

**Item 9.01 Financial Statements and Exhibits**

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- (a) Not applicable.
- (b) Not applicable.
- (c) Not applicable.
- (d) Exhibits

<u>Exhibit No.</u>	<u>Description</u>
99.1	<a href="#">Press release dated June 28, 2023 announcing the appointment of a new Chairman of the Board.</a>
104	Cover Page Interactive Data (embedded within the Inline XBRL document)

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

USCB FINANCIAL HOLDINGS, INC.

By: /s/ Robert Anderson

Name: Robert Anderson

Title: Executive Vice President and Chief Financial Officer

Date: June 28, 2023



## **USCB Financial Holdings, Inc. President and Chief Executive Officer Appointed as Chairman of the Board, Strengthening Growth and Innovation**

MIAMI, June 28, 2023 (GLOBE NEWSWIRE) -- **USCB FINANCIAL HOLDINGS, INC. (the "Company") (NASDAQ: USCB)** and its wholly owned bank subsidiary, U.S. Century Bank (the "Bank"), announced today the appointment of Luis de la Aguilera to succeed Aida Levitan, Ph.D. as Chairman of the Board of Directors for both the Company and the Bank. Levitan had served as chairman of the Board since 2017 and will continue to contribute as a valued member of the Board. Mr. de la Aguilera will continue serving as President and Chief Executive Officer.

"As President and CEO of USCB Financial Holdings, Inc., I am honored to assume the additional responsibility of Chairman of the Board. Our unwavering focus remains on organic and sustainable growth, ensuring that USCB Financial Holdings Inc. continues to thrive in a sound and prudent manner. We are poised to become a leading franchise in what I feel is one of the most attractive banking markets in the United States," said Mr. de la Aguilera. "On behalf of the Board and the entire USCB team, I thank Aida Levitan for her leadership for the past six years."

### **About Luis de la Aguilera**

De la Aguilera has served as President and Chief Executive Officer of the Company since 2015. During his tenure, he has worked to accelerate growth, drive profitability, and deliver shareholder value. Under his visionary leadership, the Bank launched a successful IPO in July 2021, diversifying the investor base and generating new capital to support growth plans. The Bank has grown into one of the largest community banks headquartered in Miami and one of the leading community banks in the state, with assets exceeding \$2.2 billion and a network of 10 banking centers. U.S. Century Bank earned its 5-star rating from BauerFinancial, the nation's leading independent bank rating firm.

An experienced banking professional of forty years, de la Aguilera's prior roles included serving as President and Chief Executive Officer of TotalBank, overseeing branch-based commercial lending and business development at Ocean Bank, and leading business banking and lending activities at Republic National Bank.

In addition to de la Aguilera's extensive banking background, he is prominent within the Florida Bankers Association, where he served on the board from 2017-2020. Further, he also served from 2012-2015 as a Director of the Florida School of Banking at the University of Florida, Gainesville and continues his association as an associate instructor. Additionally, he was appointed to represent Florida on the American Bankers Association (ABA) Government Relations Council for 2014-2015. In 2017, de la Aguilera was named to the Board of Directors of his alma mater Christopher Columbus High School. He has served on the boards of The Education Fund, the Spain-U.S. Chamber of Commerce, and Our Lady of Lourdes Academy.

A committed advocate for pediatric cancer research and awareness, Mr. de la Aguilera served as a founding board member of the SebastianStrong Foundation (2019-2021) and also served on the Miami-Dade Advisory Board of the American Cancer Society. De la Aguilera graduated from the University of Miami in 1981 with a B.A. in English Literature and a minor in Business administration.

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**About USCB Financial Holdings, Inc.**

USCB Financial Holdings, Inc. is the bank holding company for U.S. Century Bank. Established in 2002, U.S. Century Bank is one of the largest community banks headquartered in Miami, and one of the largest community banks in the state of Florida. U.S. Century Bank is rated 5-Stars by BauerFinancial, the nation's leading independent bank rating firm. U.S. Century Bank offers customers a wide range of financial products and services and supports numerous community organizations, including the Greater Miami Chamber of Commerce, the South Florida Hispanic Chamber of Commerce, and ChamberSouth. For more information or to find a U.S. Century Bank banking center near you, please call (305) 715-5200 or visit [www.uscentury.com](http://www.uscentury.com).

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