SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Filed pursuant or Sect
amo and Address of Poporting Porcon*	2. Issue

TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

ed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>DE LA AGUILERA LUIS</u>				<u>U</u>	2. Issuer Name and Ticker or Trading Symbol <u>USCB FINANCIAL HOLDINGS, INC.</u> [USCB]										ck all applic Directo	able)	10% Owne		vner		
(Last) (First) (Middle) C/O USCB FINANCIAL HOLDINGS, INC.					3. Date of Earliest Transaction (Month/Day/Year) 01/22/2024										below)	President	below)				
2301 N.W. 87TH AVENUE				4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) DORAL FL 33172				-								2	X Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City)	(City) (State) (Zip)				R	Rule 10b5-1(c) Transaction Indication															
					Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.																
		Tal	ole I - No	n-Deri	vativ	ve Se	curit	ies A	Acqu	uired,	Dis	posed	of, or	Ben	eficiall	y Owned		4.			
1. Title of Security (Instr. 3) 2. Transa Date (Month/D				- 1	Execut if any	2A. Deemed Execution Date, f any Month/Day/Year)					Securities Acquired (A) sposed Of (D) (Instr. 3, 4			5) Securitie Beneficia Owned F	5. Amount of Securities Beneficially Owned Following		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership			
										Code	v	Amount	Amount (A) or (D)		Price	Reported Transact (Instr. 3 a	ion(s)			(Instr. 4)	
Class A Voting Common Stock 01/22				2.2/202	/2024				Α		12,13	2,136 ⁽¹⁾ A		\$ 0	93,2	93,214 ⁽²⁾		D			
			Table II -									osed of onvert				Owned					
1. Title of Derivative Security (Instr. 3)	2. 3. Transaction 3A. Deemed 4.			action	5. Number 6. Date Exercisable and 7. Title and Amo on of Expiration Date of Securities						mount	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e S Ily I	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)					
					Code	v	(A)	Date Expiration Nu							mount r umber f Shares						
Stock Option (Right to Buy)	\$7.5								04/0	1/2017 ⁽³) 04	/01/2026	Comn Stoc		40,000		40,00	0	D		
Stock Option (Right to Buy)	\$11.35								09/2	3/2020 ⁽⁴	.) 09	0/23/2029	Comn Stoc		10,000		40,00	0	D		
Stock				T																	

09/27/2022⁽⁵⁾ 09/27/2031

Explanation of Responses:

\$12.05

Option (Right to

Buy)

1. Represents the grant of 12,136 shares of restricted stock which vest at a rate of one-third per year commencing on the first anniversary of the date of grant, which was 1/22/2024.

2. Includes 23,678 shares of restricted stock which vest at a rate of one-third per year commencing on the first anniversary of the date of grant, which was 3/8/2023.

3. The stock options vested at the rate of 25% per year commencing on April 1, 2017.

4. The stock options are vested at the rate of one-third per year commencing on September 23, 2020.

5. The stock options are vesting at the rate of one-third per year commencing on September 27, 2022.

/s/ Robert Anderson by P.O.A
for Luis de la Aguilera

160,000

Common

Stock

01/24/2024

160,000

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.