## SEC Form 4

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |  |  |  |  |  |  |  |
|--------------------------|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |  |  |  |  |  |  |  |
| Estimated average burden |  |  |  |  |  |  |  |
| hours per response: 0.5  |  |  |  |  |  |  |  |

| Filed pursuant to Sec | tion 16(a) of the Securities | Exchange Act of 1934 |
|-----------------------|------------------------------|----------------------|
| or Section 30(        | h) of the Investment Comp    | any Act of 1940      |

|   |         |       | of Section So(n) of the investment Company Act of 1940  |  |  |  |  |  |
|---|---------|-------|---|--|--|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup><br><u>RODRIGUEZ RAMON A</u>  |         |       | 2. Issuer Name and Ticker or Trading Symbol<br><u>USCB FINANCIAL HOLDINGS, INC.</u> [<br>USCB ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |  |  |  |  |
| (Last) (First) (Middle)<br>C/O USCB FINANCIAL HOLDINGS, INC.<br>2301 N.W. 87TH AVENUE   |         | ,     | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/29/2024                                  | Officer (give title Other (specify below) below)   |  |  |  |  |
|   |         | ,     | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Individual or Joint/Group Filing (Check Applicabl   |  |  |  |  |
|   |         |       | —   | X Form filed by One Reporting Person   |  |  |  |  |
| (Street)<br>DORAL   | FL      | 33172 |   | Form filed by More than One Reporting<br>Person  |  |  |  |  |
| (City)  | (State) | (Zip) | Rule 10b5-1(c) Transaction Indication   |  |  |  |  |  |
| Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intender satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |         |       |   |  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  |         |       |   |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |  | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|-----------------------------|--|---|---------------|-----------------|---|---|---|
|                                 |  |   | Code V                      |  | Amount  | (A) or<br>(D) | Price           | Transaction(s)<br>(Instr. 3 and 4)  |   | (   |
| Class A Voting Common Stock     | 05/29/2024                                 |   | Р                           |  | 1,600   | Α             | <b>\$</b> 11.96 | 6,000   | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  | (* 571  | , ,                          | , | -   |                           | · · · · · · · · · · · · · · · · · · ·                          |                    |   | ,                                      |   |       |  |  |  |  |
|---|---|--|---|------------------------------|---|---|---------------------------|--|--------------------|---|--|---|-------|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Disp<br>of (D | r<br>osed<br>)<br>r. 3, 4 | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | Expiration Date An<br>(Month/Day/Year) Se<br>Un<br>De<br>Se |  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |       | nt of Derivative<br>ties Security<br>ying (Instr. 5)<br>tive<br>ty (Instr. 3 | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)   | (D)                       | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |       |  |  |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$13.9  |  |   |                              |   |   |                           | 02/15/2023 <sup>(1)</sup>                                      | 02/15/2032         | Class<br>A<br>Voting<br>Stock                               | 5,000                                  |   | 5,000 | D  |  |  |  |

**Explanation of Responses:** 

1. The options vested fully on the first anniversary of the date of grant.

## /s/ Robert Anderson by P.O.A. 05/31/2024 for Ramon A Rodriguez

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

| o | MB Number:                       | 3235-02 |
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